

# Algebras Whose Tits Form Weakly Controls the Module Category

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An important invariant associated to a finite dimensional algebra is its Tits quadratic form. This invariant has been extensively used in the representation theory of algebras to determine the representation type of an algebra (see [4, 11, 16]), to determine the classes of indecomposable modules in the Grothendieck group (see [9, 11, 20]), and as a tool in a wide range of applications (see [16, 21]).

Let  $A = kQ/I$  be a basic, finite dimensional algebra over an algebraically closed field  $k$ . We will assume that  $Q$  is connected and without oriented cycles. We denote by  $Q_0 = \{1, \dots, n\}$  (resp.  $Q_1$ ) the set of vertices (resp. arrows) of  $Q$ . Left  $A$ -modules are considered as representations of  $Q$  satisfying the ideal  $I$  (for this point of view, see [12]). By  $S_i$  we denote the simple representation associated with the vertex  $i \in Q_0$ . Observe that  $\dim_k \text{Ext}_A^1(S_i, S_j)$  is the number of arrows from  $i$  to  $j$  in  $Q$ . The Tits form

$q_A$  is the integral quadratic form  $q_A: \mathbb{Z}^n \rightarrow \mathbb{Z}$  given by

$$q_A(v) = \sum_{i=1}^n v(i)^2 - \sum_{(i \rightarrow j) \in Q_1} v(i)v(j) + \sum_{i, j \in Q_0} v(i)v(j) \dim_k \text{Ext}_A^2(S_i, S_j).$$

If all indecomposable  $A$ -modules lie in a postprojective component of the Auslander–Reiten quiver  $\Gamma_A$  of  $A$  (and hence  $A$  is representation-finite), then there is a one to one correspondence  $X \mapsto \mathbf{dim} X$  between indecomposable modules and positive roots of  $q_A$  (that is, vectors  $v \in \mathbb{N}^n$  with  $q_A(v) = 1$ ). For a tame algebra  $A$ , it is known that the Tits form  $q_A$  is weakly non-negative [15]. Moreover, for several important classes of tame algebras (e.g., hereditary algebras [9], domestic tubular and tubular algebras [25], tilted algebras [13]), it has been shown that the Tits form  $q_A$  of an algebra  $A$  in the family *controls the module category*  $\text{mod}_A$ , that is:

- (i) for every indecomposable module  $X \in \text{mod}_A$ ,  $q_A(\mathbf{dim} X) \in \{0, 1\}$ ;
- (ii) for any connected vector  $v \in \mathbb{N}^n$  with  $q_A(v) = 1$ , there exists a unique (up to isomorphism) indecomposable  $A$ -module  $X$  with  $\mathbf{dim} X = v$ ;
- (iii) for any connected vector  $v \in \mathbb{N}^n$  with  $q_A(v) = 0$ , there exists an infinite family  $(X_\lambda)_\lambda$  of pairwise non-isomorphic indecomposable  $A$ -modules with  $\mathbf{dim} X_\lambda = v$  for every  $\lambda$ .

The most precise statements relating indecomposable modules and the Tits form have been obtained for strongly simply connected algebras (see [20, 22, 27]). An algebra  $A$  is said to be *strongly simply connected* if every convex (= path closed) subcategory  $B$  of  $A$  satisfies the separation condition (equivalently, its first Hochschild cohomology group  $H^1(B, B)$  vanishes [26]). We will say that  $q_A$  *weakly controls*  $\text{mod}_A$  if for every indecomposable module  $X \in \text{mod}_A$ ,  $q_A(\mathbf{dim} X) \in \{0, 1\}$ . In [23], it was shown that a strongly simply connected algebra  $A$  such that  $q_A$  weakly controls  $\text{mod}_A$  is tame of polynomial growth. We shall show in this work the following theorem (for the required definitions, see Section 1).

**THEOREM.** *Let  $A$  be a strongly simply connected algebra. Then the following are equivalent:*

- (i)  $q_A$  weakly controls  $\text{mod}_A$ .
- (ii)  $A$  is of polynomial growth and for every convex subcategory  $B$  of  $A$  which is a coil algebra,  $B$  is a branched-critical algebra with  $\text{gl dim } B \leq 2$ .
- (iii)  $q_A$  is weakly non-negative and for every indecomposable  $A$ -module  $X$  there is a convex subcategory  $B$  of  $A$  containing  $\text{supp } X$  and such that  $B$  is either a tilted algebra or a branched-critical algebra with  $\text{gl dim } B \leq 2$ .

In Section 1 we recall the definitions and results required for the proof of the theorem, which is given in Section 2. In Section 3 we shall consider some algebras  $A$  whose Tits form weakly controls the module category but such that  $A$  admits non-trivial Galois coverings.

## 1. BASIC FACTS

Let  $A = kQ/I$  be a finite dimensional  $k$ -algebra and assume that  $Q$  is a connected quiver without oriented cycles. Let  $Q_0 = \{1, \dots, n\}$ .

1.1. For any representation  $X \in \text{mod}_A$ , we denote by  $\mathbf{dim} X \in \mathbb{Z}^n$  (called the dimension vector of  $X$ ) the class of  $X$  in the Grothendieck group  $K_0(A) \cong \mathbb{Z}^n$ . The Euler characteristic is defined as the (non-symmetric) bilinear form satisfying

$$\langle \mathbf{dim} X, \mathbf{dim} Y \rangle = \sum_{i=0}^{\infty} (-1)^i \dim_k \text{Ext}_A^i(X, Y).$$

The associated quadratic form  $\chi_A$  is called the *Euler form*. Observe that if  $\text{gl dim } A \leq 2$ , then  $q_A = \chi_A$  (see [4]). Many of the applications of the Tits form in representation theory make use of this identity, which relates combinatorial data of  $A$  and homological information of the  $A$ -modules.

1.2. An algebra  $A$  is said to be *tame* if for every  $d \in \mathbb{N}$  there is a finite family  $M_1, \dots, M_s$  of  $A - k[t]$ -bimodules which are free as right  $k[t]$ -modules and such that almost every indecomposable  $A$ -module  $X$  of dimension  $d$  is isomorphic to  $M_i \otimes_{k[t]} k[t]/(t - \lambda)$  for some  $1 \leq i \leq s$  and  $\lambda \in k$ . The minimal number  $\mu(d)$  of bimodules in the definition is called the number of one-parameter families in dimension  $d$ . If  $\mu(d) \leq c$  for every  $d$ , then  $A$  is said to be *domestic*; if  $\mu(d) \leq d^m$  for some  $m \in \mathbb{N}$  and every  $d$ , then  $A$  is said to be of *polynomial growth*. See [7, 16, 25].

For a tame algebra  $A$ , the Tits form is weakly non-negative. In this case, for every  $v \in \mathbb{N}^n$  almost every indecomposable  $A$ -module  $X$  with  $\mathbf{dim} X = v$  satisfies

$$q_A(v) \geq \dim_k \text{End}_A(X) - \dim_k \text{Ext}_A^1(X, X) \geq 0.$$

See [18].

We briefly recall some “classical” examples:

(i) An algebra  $C$  is called *critical* if the Auslander–Reiten quiver  $\Gamma_C$  has a postprojective component and every proper quotient of  $C$  is representation-finite. In that case, the quiver  $\Gamma_C$  is formed by a postprojective component  $\mathcal{P}$ , a family  $\mathcal{T} = (T_\lambda)_{\lambda \in \mathbb{P}_1^k}$  of tubular components and a preinjective component  $\mathcal{I}$ . The Tits form  $q_C$  is critical, that is,  $q_C$  is not weakly positive but every restriction  $q_C^{(i)} = q_C(x_1, \dots, x_{i-1}, 0, x_{i+1}, \dots, x_n): \mathbb{Z}^{n-1} \rightarrow \mathbb{Z}$  is weakly positive. There exists a vector  $0 \neq z \in \mathbb{N}^n$  such that  $q_C(z) = 0$ , and an indecomposable  $C$ -module  $X$  lies in  $\mathcal{P}$  (resp.  $\mathcal{T}, \mathcal{I}$ ) if and only if  $\langle z, \mathbf{dim} X \rangle < 0$  (resp.  $= 0, > 0$ ). See [9, 25].

(ii) In [25], the *branch extensions* of a critical algebra are defined. An algebra  $A$  obtained by a sequence of branch extensions from a critical algebra is tame if and only if  $A$  is either a *domestic tubular* or a *tubular algebra*.

Tubular algebras are of polynomial growth; the structure of their Auslander–Reiten quiver is described in [25]. For a domestic tubular or tubular algebra  $A$ , the Tits form  $q_A$  controls  $\text{mod}_A$  [25].

(iii) Let  $A$  be a tilted algebra. In [13], it was shown that  $A$  is tame if and only if  $q_A$  is weakly non-negative. In that case,  $q_A$  controls  $\text{mod}_A$  [19].

An important example is the following: let  $X$  be an *indecomposable directing*  $A$ -module (that is, there is no cycle of non-zero non-isomorphisms  $X = X_0 \rightarrow X_1 \rightarrow \dots \rightarrow X_s \rightarrow X$  between indecomposable  $A$ -modules), then  $\text{supp } X$  is convex in  $Q$  and the full subcategory  $B$  of  $A$  induced by  $\text{supp } X$  is tilted [25].

1.3. An algebra  $B$  is said to be *hypercritical* if  $\Gamma_B$  admits a postprojective component and  $q_B$  is hypercritical (that is,  $q_B$  is not weakly non-negative but every restriction  $q_B^{(i)}$  is weakly non-negative). In [17] it is shown that a strongly simply connected algebra  $A$  has a weakly non-negative Tits form  $q_A$  if and only if  $A$  does not admit a convex subcategory  $B$  which is a hypercritical algebra.

1.4. A strongly simply connected algebra  $D$  is said to be *pg-critical* if it is tame not of polynomial growth but every proper quotient of  $D$  is of polynomial growth. These algebras have been classified in [14]. Their importance is due to the following result.

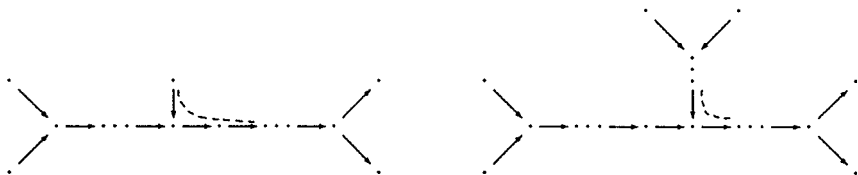
**THEOREM [27, 28].** *Let  $A$  be a strongly simply connected algebra. Then the following are equivalent:*

- (i)  $A$  is of polynomial growth.

(ii)  $q_A$  is weakly non-negative and  $A$  does not admit a convex  $pg$ -critical subcategory.

(iii)  $A$  does not admit a convex subcategory which is hypercritical or  $pg$ -critical.

A  $pg$ -critical algebra  $D$  is tilted equivalent to one of the algebras



where the ideal defining the algebra is generated by the marked paths.

It was shown in [24] that for a  $pg$ -critical algebra  $D$  there is an indecomposable module  $X$  with  $\dim_k \text{End}_D(X) = 1 = \dim_k \text{Ext}_D^2(X, X)$  and  $\text{Ext}_D^1(X, X) = 0$ , in particular,  $q_D(\mathbf{dim} X) = 2$ .

1.5. PROPOSITION [23]. *Let  $A$  be a strongly simply connected algebra such that  $q_A$  weakly controls  $\text{mod}_A$ . Then  $A$  is of polynomial growth.*

The proof follows directly using the criterion quoted in (1.4) and the properties of hypercritical and  $pg$ -critical algebras recalled in (1.3) and (1.4), respectively.

1.6. Let  $A$  be a strongly simply connected algebra. By [28],  $A$  is of polynomial growth if and only if  $A$  is a multicoil algebra, that is, every non-directing indecomposable  $A$ -module lies in a coil of a multicoil component of  $\Gamma_A$ ; see [1, 2]. The support of a coil is a convex subcategory of  $A$  which is a tame coil enlargement of a critical algebra.

In [1], six admissible operations (ad 1), (ad 2), (ad 3) and their duals (ad 1\*), (ad 2\*), (ad 3\*) were introduced. We briefly recall these operations. Let  $B$  be an algebra and  $\mathcal{E}$  be a standard component of  $\Gamma_B$ . For an indecomposable module  $X$  in  $\mathcal{E}$ , called the *pivot*, the admissible operations are defined depending on the shape of the support of  $\text{Hom}_B(X, -)|_{\mathcal{E}}$ , in order to obtain a new algebra  $B'$ .

(ad 1) If the support of  $\text{Hom}_B(X, -)|_{\mathcal{E}}$  is of the form

$$X = X_0 \rightarrow X_1 \rightarrow X_2 \rightarrow \dots$$

we set  $B' = (B \times D)[X \oplus Y_1]$ , where  $D$  is the full  $t \times t$  lower triangular matrix algebra and  $Y_1$  is the indecomposable projective-injective  $D$ -module.

(ad 2) If  $\text{Hom}_B(X, -)|_{\mathcal{E}}$  is of the form

$$Y_t \leftarrow \cdots \leftarrow Y_1 \leftarrow X = X_0 \rightarrow X_1 \rightarrow X_2 \rightarrow \cdots$$

with  $t \geq 1$  (so that  $X$  is injective), we set  $B' = B[X]$ .

(ad 3) If the support of  $\text{Hom}_B(X, -)|_{\mathcal{E}}$  is of the form

$$\begin{array}{ccccccccccc} X = X_0 & \rightarrow & X_1 & \rightarrow & \cdots & X_{t-1} & \rightarrow & X_t & \rightarrow & \cdots \\ \downarrow & & \downarrow & & & \downarrow & & & & \\ Y_1 & \rightarrow & Y_2 & \rightarrow & \cdots & Y_t & & & & \end{array}$$

with  $t \geq 2$  (so that  $X_{t-1}$  is injective), we set  $B' = B[X]$ .

In all these cases the component  $\mathcal{E}'$  of  $\Gamma_{B'}$  containing  $X$  is a standard component (under certain conditions satisfied in this work).

Following [3], an algebra  $B$  is said to be a *coil enlargement* of the critical algebra  $C$  if there is a finite sequence of algebras  $C = B_0, B_1, \dots, B_m = B$  such that, for each  $0 \leq i < m$ ,  $B_{i+1}$  is obtained from  $B_i$  by one of the admissible operations with pivot in a stable tube of the separating tubular family  $\mathcal{T}$  or in a component of  $\Gamma_{B_i}$  obtained from a tube in  $\mathcal{T}$  by means of the sequence of admissible operations done so far. If  $B$  is tame, we say that  $B$  is a *coil algebra*.

**THEOREM [22].** *Let  $A$  be a strongly simply connected algebra of polynomial growth. Let  $X$  be an indecomposable  $A$ -module. Then there is a convex subcategory  $B$  of  $A$  containing  $\text{supp } X$  and such that  $B$  is either a tilted algebra or a coil algebra, in particular,  $\text{gl dim } B \leq 3$ .*

*Moreover,  $\text{Ext}_A^r(X, X) = \mathbf{0}$  for every  $r \geq 2$  and*

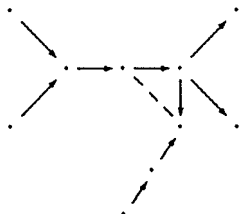
$$q_A(\mathbf{dim } X) \geq \chi_A(\mathbf{dim } X) = \dim_k \text{End}_A(X) - \dim_k \text{Ext}_A^1(X, X) \geq 0.$$

1.7. A coil algebra  $B$  which is obtained from a critical algebra  $C$  by a sequence of admissible operations of types (ad 1) and (ad 1\*) such that each pivot used in the sequence is not both an (ad 1)-pivot and an (ad 1\*)-pivot is called a *branched-critical algebra*. In other words,  $B$  is a branched-critical algebra if it is obtained from the critical algebra  $C$  by a sequence of branch extensions and branch coextensions, and has no full convex subcategory of the form  $[M]C'[M]$  with  $C'$  a full convex subcategory of  $B$ .

## 2. THE MAIN RESULTS

2.1. First, we give some examples.

Consider the domestic tubular algebra  $B_0$  given by the bound quiver



It is obtained from a critical algebra of type  $\tilde{D}_6$  by a branch coextension (one operation of type (ad 1\*)). Consider the unique indecomposable  $B_0$ -modules  $M_1, M_2, M_3, M_4$ , and  $M_5$  with dimension vectors  $v_i = \mathbf{dim} M_i$  given as

$$\begin{array}{ccccccccc}
 & 0 & & & 0 & & 0 & & & 0 \\
 & 1 & 0 & & 0 & & 0 & 1 & & 0 \\
 v_1: 0 & & & & 0 & 0 & v_2: 0 & & & 0 & 0 \\
 & & & 0 & & & & & & 0 & \\
 & & & 0 & & & & & & 0 & \\
 & 0 & & & 0 & & 0 & & & & 0 \\
 & 0 & 0 & & 1 & & 0 & 0 & & 1 & \\
 v_3: 0 & & & 0 & 0 & & v_4: 0 & & & 1 & 0 \\
 & & & 0 & & & & & & 1 & \\
 & & & 0 & & & & & & 1 & \\
 & & & & 0 & & & & & 0 & \\
 & & & & 0 & 0 & & 1 & & & \\
 & & & v_5: 0 & & & & 1 & 0 & & \\
 & & & & & & & 1 & & & \\
 & & & & & & & & & & 0 \\
 & & & & & & & & & & 0
 \end{array}$$

The algebras  $B_i = B_0[M_i]$ ,  $1 \leq i \leq 5$ , are coil algebras.

We study briefly each case.

$B_1$  is obtained from  $B_0$  by an operation of type (ad 1). The global dimension of  $B_1$  is 2. It is not difficult to show that  $q_{B_1}$  weakly controls  $\text{mod}_{B_1}$ .

$B_2$  is obtained from  $B_0$  by an operation of type (ad 1). In this case,  $\text{gl dim } B_2 = 3$ . There is an indecomposable  $B_2$ -module  $X$  with dimension vector

$$\mathbf{dim } X = \begin{array}{cccc} & & 1 & & \\ & & \textcircled{1} & & \\ & 1 & 2 & & 1 \\ & 2 & 2 & & 2 \\ \mathbf{dim } X = 1 & & & & 1 & 1 \\ & & & 0 & & \\ & & & 0 & & \end{array}$$

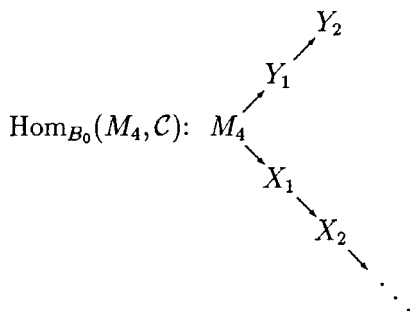
where the encircled number corresponds to the extension vertex. Since  $q_{B_2}(\mathbf{dim } X) = 2$ , then  $q_{B_2}$  does not control  $\text{mod}_{B_2}$ .

$B_3$  is obtained from  $B_0$  by an operation of type (ad 1). In this case,  $\text{gl dim } B_3 = 2$ . There is an indecomposable  $B_3$ -module  $X$  with dimension vector

$$\mathbf{dim } X = \begin{array}{cccc} & & 1 & & \\ & & \textcircled{1} & & \\ & 1 & 2 & & 1 \\ & 2 & 2 & & 3 \\ \mathbf{dim } X = 1 & & & & 1 & 1 \\ & & & 1 & & \\ & & & 1 & & \end{array}$$

such that  $q_{B_3}(\mathbf{dim } X) = 2$ . Hence  $q_{B_3}$  does not control  $\text{mod}_{B_3}$ .

$B_4$  is obtained from  $B_0$  by an operation of type (ad 2). The module  $M_4$  belongs to a coinserted tube  $\mathcal{E}$  of  $\Gamma_{B_0}$  and the vector space category  $\text{Hom}_{B_0}(M_4, \mathcal{E})$  has the shape





There is an indecomposable  $B_4$ -module  $Y$  whose restriction to  $B_0$  is  $X_7 \oplus Y_2$  and with dimension vector

$$\dim Y: \begin{array}{cccc} 1 & & & 1 \\ & 2 & 2 & 3 \\ & 1 & \textcircled{1} & 1 \\ & & 1 & \\ & & & 2 \end{array}$$

Therefore  $q_{B_4}(\mathbf{dim} Y) = 2$  and  $q_{B_4}$  does not control  $\text{mod}_{B_4}$ .

Finally,  $B_5$  is obtained from  $B_0$  by an operation of type (ad 3). The vector space category  $\text{Hom}_{B_0}(M_5, \mathcal{C})$  has the shape

$$\text{Hom}_{B_0}(M_5, \mathcal{C}): \begin{array}{ccccc} & & Y'_1 & & \\ & \nearrow & & \searrow & \\ M_5 & & & & Y'_2 = Y_1 \\ & \searrow & & \nearrow & \\ X'_1 = M_4 & & & & \\ & & & & X'_2 \\ & & & & \vdots \end{array}$$

There is an indecomposable  $B_5$ -module  $Z$  whose restriction to  $B_0$  is  $X'_8 \oplus Y'_2$  and with dimension vector

$$\dim Z: \begin{array}{cccc} 1 & & & 1 \\ & 2 & 2 & 3 \\ & 1 & \textcircled{1} & 1 \\ & & 2 & \\ & & & 2 \end{array}$$

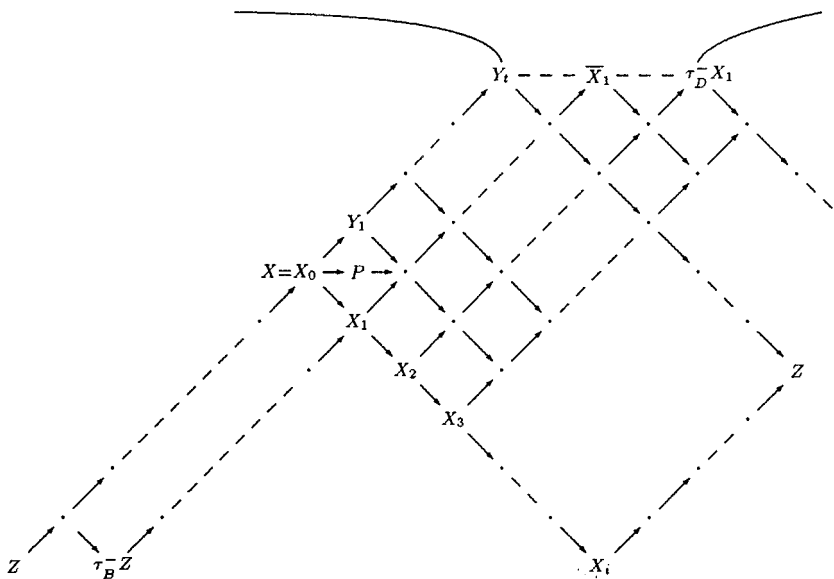
Hence  $q_{B_5}(\mathbf{dim} Z) = 2$  and  $q_{B_5}$  does not control  $\text{mod}_{B_5}$ .

2.2. PROPOSITION. *Let  $B$  be a coil algebra such that  $q_B$  weakly controls mod  $B$ . Then  $B$  is a branched-critical algebra.*

*Proof.* Assume that  $B$  is a coil algebra which is not branched-critical. There is a sequence  $C = B_0, B_1, \dots, B_m = B$ , where  $C$  is a critical algebra and  $B_{i+1}$  is obtained from  $B_i$  by an admissible operation. Since  $B$  is not branched-critical, we may assume that  $B_n$  for  $n \leq m$  is obtained from  $B_{n-1}$  by an operation of type (ad 2) or (ad 3) or by an operation of type (ad 1) with pivot a module used previously as an (ad 1\*)-pivot, and for every  $1 \leq i \leq n - 1$ ,  $B_i$  is obtained from  $B_{i-1}$  by an operation of type (ad 1) or (ad 1\*). Since  $B_n$  is a convex subcategory of  $B$ , we may assume that  $m = n$ . Let  $D = B_{m-1}$ ,  $X$  be the pivot of the admissible operation used to obtain  $B$  and let  $\mathcal{E}$  be the standard coil in  $\Gamma_B$  containing  $X$ .

By (1.6),  $\text{gl dim } B \leq 3$  and  $\text{Ext}_B^r(Z, Z) = 0$  for every indecomposable  $B$ -module  $Z$  in  $\mathcal{E}$  and  $r \geq 2$ .

Assume first that  $X$  is an (ad 2)-pivot. Then  $\mathcal{E}$  has the shape



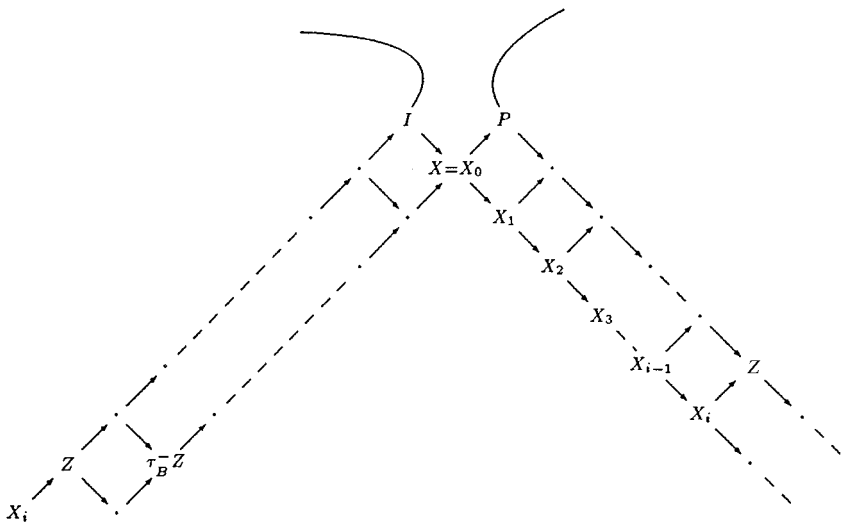
Let  $Z$  be the indecomposable  $B$ -module whose restriction to  $D$  is  $X_i \oplus Y_t$ , where  $i$  is the least positive integer such that  $\text{Hom}_D(X_i, Y_t) \neq 0$ .

Then  $i \dim_B Z \leq 1$  and

$$\begin{aligned} q_B(\mathbf{dim} Z) &\geq \chi_B(\mathbf{dim} Z) = \dim_k \text{Hom}_B(Z, Z) - \dim_k \text{Ext}_B^1(Z, Z) \\ &= \dim_k \text{Hom}_B(Z, Z) - \dim_k \text{Hom}(\tau_B^- Z, Z) = 3 - 1 = 2. \end{aligned}$$

The case where  $X$  is an (ad 3)-pivot is similar.

Finally, assume that  $X$  is both an (ad 1)-pivot and an (ad 1\*)-pivot. Then  $\mathcal{E}$  has the shape



where  $P$  is projective and  $I$  is injective.

Let  $Z$  be the indecomposable  $B$ -module whose restriction to  $D$  is  $X_i$ , where  $i$  is the least positive integer such that  $\text{Hom}_D(X_i, I) \neq 0$ . Then

$$\begin{aligned} q_B(\mathbf{dim} Z) &\geq \chi_B(\mathbf{dim} Z) = \dim_k \text{Hom}_B(Z, Z) - \dim_k \text{Ext}_B^1(Z, Z) \\ &= \dim_k \text{Hom}_B(Z, Z) - \dim_k \overline{\text{Hom}}(Z, \tau_B Z) = 2. \quad \blacksquare \end{aligned}$$

2.3. PROPOSITION. *Let  $B$  be a branched-critical algebra. Then the following are equivalent:*

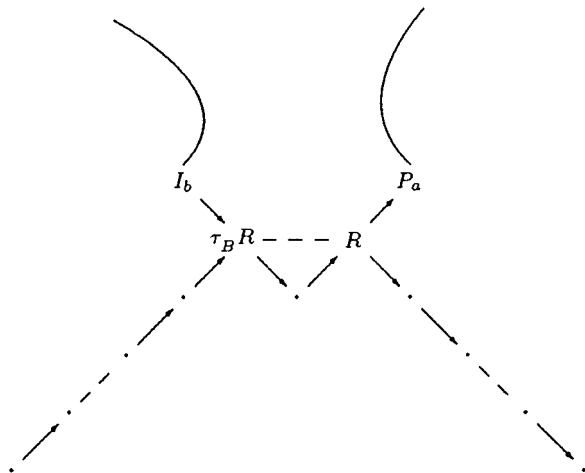
- (i)  $q_B$  weakly controls  $\text{mod}_B$ .
- (ii) For every indecomposable  $B$ -module  $X$ , we have

$$q_B(\mathbf{dim} X) = \chi_B(\mathbf{dim} X) = \dim_k \text{End}_B(X) - \dim_k \text{Ext}_B^1(X, X).$$

- (iii)  $\text{gl dim } B \leq 2$ .

*Proof.* (i)  $\Rightarrow$  (iii) Suppose that  $\text{gl dim } B > 2$ . Then there is an indecomposable summand  $R$  of  $\text{rad } P_a$  for some indecomposable projective  $B$ -module  $P_a$ , and an indecomposable injective  $B$ -module  $I_b$  such that  $\text{Hom}_B(I_b, \tau_B R) \neq 0$ . All modules  $R$ ,  $\tau_B R$ ,  $P_a$ , and  $I_b$  lie in a coil  $\mathcal{C}$  of  $\Gamma_B$  obtained from a stable tube  $T$  in the Auslander–Reiten quiver of a critical algebra  $C$  by a sequence of branch insertions and coinserions.

Since  $B$  is branched-critical, the situation in  $\mathcal{C}$  may be depicted as



From the description of the modified component after applying an operation of type (ad 1) or (ad 1\*), it follows that only the following situations can occur:

- (a)  $\tau_B R$  and  $R$  are both simple regular  $C$ -modules.
- (b)  $E' = \tau_B R|_C$  is a simple regular  $C$ -module lying on the coray ending at  $\tau_B R$  in  $\mathcal{C}$ , and  $R = \tau_C^- E'$ .
- (c)  $E = R|_C$  is a simple regular  $C$ -module lying on the ray starting at  $R$  in  $\mathcal{C}$ , and  $\tau_B R = \tau_C E$ .
- (d)  $E' = \tau_B R|_C$  is a simple regular  $C$ -module lying on the coray ending at  $\tau_B R$  in  $\mathcal{C}$ ,  $E = R|_C$  is a simple regular  $C$ -module lying on the ray starting at  $R$  in  $\mathcal{C}$ , and  $E' = \tau_C E$ .

Let  $Z$  be the indecomposable regular  $C$ -module in  $T$  with regular socle  $E$  and regular top  $\tau_C E$ . Then  $\mathbf{dim} Z = z_0$  is the minimal generator of  $\text{rad}_{q_C}$ . It is easy to see that in the four cases above, there exists an

indecomposable  $B$ -module  $X$  in  $\mathcal{E}$  with  $\mathbf{dim} X = z_0 + e_a + e_b$ . Thus

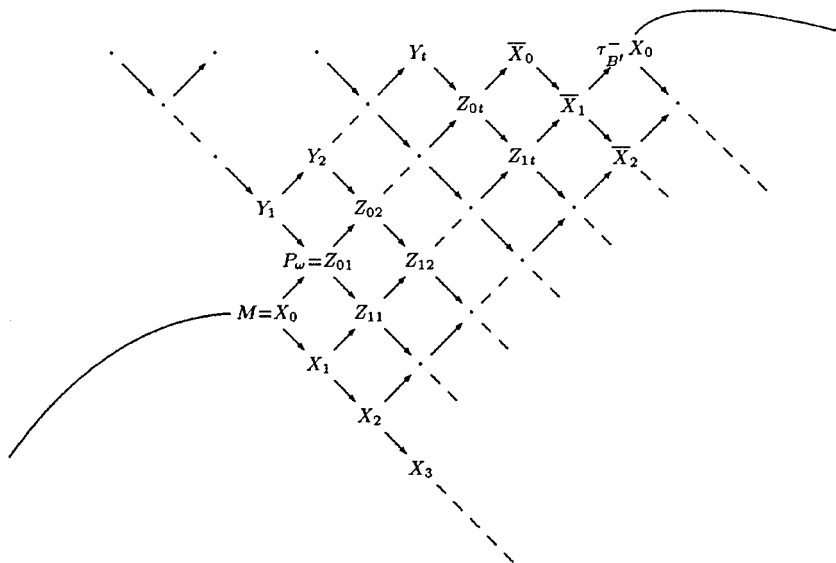
$$q_B(\mathbf{dim} X) = q_C(z_0) + 2 + q_B(z_0, e_a) + q_B(z_0, e_b) \geq 2.$$

The inequality due to the fact that  $q_B(z_0, e_a) < 0$  (resp.  $q_B(z_0, e_b) < 0$ ) would imply that  $q_B(2z_0 + e_a) < 0$  (resp.  $q_B(2z_0 + e_b) < 0$ ), which is impossible since  $B$  is tame and therefore  $q_B$  is weakly non-negative. This shows that  $q_B$  does not control  $\text{mod}_B$ .

(ii)  $\Rightarrow$  (iii) Assume that  $\text{gl dim } B > 2$ . The indecomposable  $B$ -module  $X$  constructed above satisfies  $\dim_k \text{End}_B(X) = \dim_k \text{End}_C(Z) = 1$ , therefore (ii) does not hold.

(iii)  $\Rightarrow$  (ii) Assume that  $\text{gl dim } B \leq 2$  and let  $X$  be an indecomposable  $B$ -module. In (1.6) we recalled that  $\text{Ext}_B^2(X, X) = 0$ , hence (ii) holds for  $X$ .

(iii)  $\Rightarrow$  (i) Assume that  $\text{gl dim } B \leq 2$ . By the description of the module category of a coil algebra given in [3], it is enough to show that  $q_B(\mathbf{dim} X) \in \{0, 1\}$  for every indecomposable  $B$ -module  $X$  lying in a coil  $\mathcal{E}$  of  $\Gamma_B$  containing both projectives and injectives. Hence we may assume inductively that  $B$  is obtained from a branched-critical algebra  $B'$  by an operation of type (ad 1) with pivot  $M$  in a coil  $\mathcal{E}'$  of  $\Gamma_{B'}$ , and that  $q_{B'}(\mathbf{dim} X) \in \{0, 1\}$  for every indecomposable  $B'$ -module  $X$  in  $\mathcal{E}'$ . The situation in  $\mathcal{E}$  can be depicted as



where  $Z_{ij}$ ,  $i \geq 0$ ,  $1 \leq j \leq t$ , is the unique indecomposable  $B$ -module whose restriction to  $B'$  is  $X_i \oplus Y_j$  and whose dimension vector is  $\mathbf{dim} Z_{ij} = \mathbf{dim} X_i + \mathbf{dim} Y_j + e_w$ , and  $\bar{X}_i$ ,  $i \geq 0$ , is the unique indecomposable  $B$ -module whose restriction to  $B'$  is  $X_i$  and whose dimension vector is  $\mathbf{dim} \bar{X}_i = \mathbf{dim} X_i + e_w$ . Then

$$\begin{aligned}
q_B(\mathbf{dim} Z_{ij}) &= q_B(\mathbf{dim} X_i) + q_B(\mathbf{dim} Y_j) + 1 + \langle \mathbf{dim} X_i, \mathbf{dim} Y_j \rangle \\
&\quad + \langle \mathbf{dim} Y_j, \mathbf{dim} X_i \rangle + \langle \mathbf{dim} X_i, e_w \rangle + \langle e_w, \mathbf{dim} X_i \rangle \\
&\quad + \langle \mathbf{dim} Y_j, e_w \rangle + \langle e_w, \mathbf{dim} Y_j \rangle \\
&= q_{B'}(\mathbf{dim} X_i) + 2 + \langle e_w, \mathbf{dim} X_i \rangle + \langle e_w, \mathbf{dim} Y_j \rangle \\
&= q_{B'}(\mathbf{dim} X_i) + 2 - \langle \mathbf{dim} X_0, \mathbf{dim} X_i \rangle - \langle \mathbf{dim} Y_1, \mathbf{dim} X_i \rangle \\
&\quad - \langle \mathbf{dim} X_0, \mathbf{dim} Y_j \rangle - \langle \mathbf{dim} Y_1, \mathbf{dim} Y_j \rangle \\
&= q_{B'}(\mathbf{dim} X_i) + 2 - 3 + \dim \text{Ext}_{B'}^1(X_0, X_i).
\end{aligned}$$

Since  $\text{Ext}_{B'}^1(X_0, X_i) \cong D \overline{\text{Hom}}(\tau_{B'}^- X_i, X_0)$ , if  $\text{Ext}_{B'}^1(X_0, X_i) \neq 0$  then  $\dim_k \text{Ext}_{B'}^1(X_0, X_i) = 1$  and  $q_B(\mathbf{dim} Z_{ij}) = q_{B'}(\mathbf{dim} X_i) \in \{0, 1\}$ . If  $\text{Ext}_{B'}^1(X_0, X_i) = 0$  then  $q_{B'}(\mathbf{dim} X_i) = \dim_k \text{Hom}_{B'}(X_i, X_i) - \dim_k \text{Ext}_{B'}^1(X_i, X_i) = 1$ , and  $q_B(\mathbf{dim} Z_{ij}) = 0$ . Similarly,  $q_B(\mathbf{dim} \bar{X}_i) \in \{0, 1\}$ . ■

2.4 *Proof of the theorem.* (i)  $\Rightarrow$  (ii) This follows from (1.5), (2.2), and (2.3).

(ii)  $\Rightarrow$  (iii) Since  $A$  is tame, then  $q_A$  is weakly non-negative. Let  $X$  be an indecomposable  $A$ -module. If  $X$  is directing, then  $\text{supp } X$  is convex in  $A$  and induces a convex subcategory  $B$  of  $A$  which is a tilted algebra (see [25]). If  $X$  is not directing, by (1.6), there is a convex subcategory  $B$  of  $A$  containing  $\text{supp } X$  which is a coil algebra. By hypothesis,  $B$  is a branched-critical algebra and  $\text{gl dim } B \leq 2$ .

(iii)  $\Rightarrow$  (i) First, observe that the hypothesis implies that  $A$  is of polynomial growth. Indeed,  $q_A$  is weakly non-negative and  $A$  does not admit a convex subcategory  $B$  which is  $pg$ -critical (since such an algebra  $B$  is neither tilted nor branched-critical).

Let  $X$  be an indecomposable  $A$ -module. If  $X$  is directing and  $B$  is a convex tilted subcategory of  $A$  containing  $\text{supp } X$ , then  $q_A(\mathbf{dim} X) =$

$q_B(\mathbf{dim} X) = 1$ . If  $X$  is not directing, then by (1.6), there is a convex subcategory  $B$  of  $A$  containing  $\text{supp } X$  which is a coil algebra. By hypothesis,  $B$  is a branched-critical algebra with  $\text{gl dim } B \leq 2$ . By (2.3),  $q_B$  weakly controls  $\text{mod}_B$ . Hence  $q_A(\mathbf{dim} X) = q_B(\mathbf{dim} X) \in \{0, 1\}$ . ■

2.5. COROLLARY. *Let  $A$  be a strongly simply connected algebra such that  $q_A$  weakly controls  $\text{mod}_A$ . Then for any indecomposable  $A$ -module  $X$ , we have*

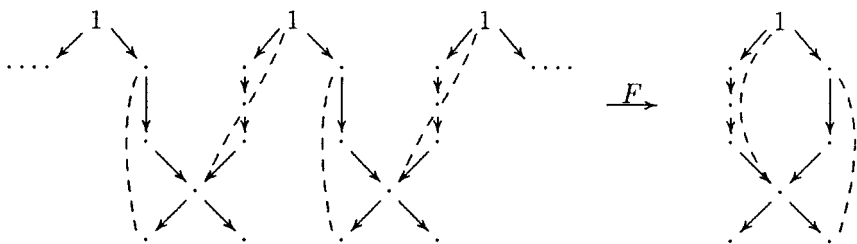
$$q_A(\mathbf{dim} X) = \chi_A(\mathbf{dim} X) = \dim_k \text{End}_A(X) - \dim_k \text{Ext}_A^1(X, X).$$

*Proof.* This follows from the proof of (i)  $\Rightarrow$  (iii) in (2.4). ■

### 3. ALGEBRAS WITH A SIMPLY CONNECTED GALOIS COVER

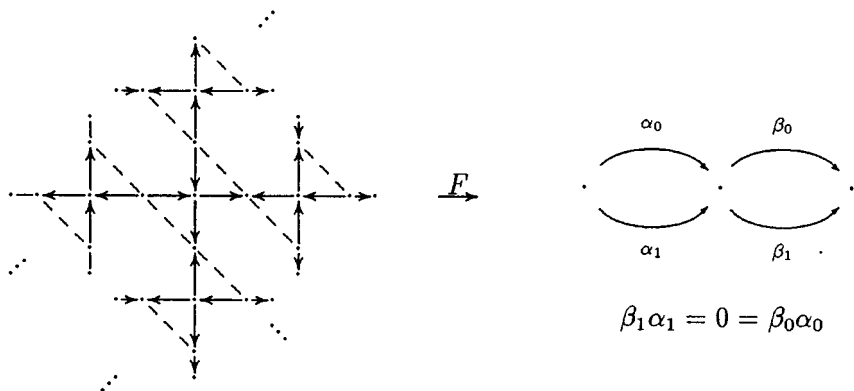
3.1. We are interested in the consideration of algebras  $A$  whose Tits form  $q_A$  weakly controls  $\text{mod}_A$  and which admit a Galois covering  $F: \tilde{A} \rightarrow A$  such that  $\tilde{A}$  is strongly simply connected (therefore the situation of the main theorem comes back when  $A = \tilde{A}$ ). For concepts and results on the theory of Galois coverings the reader may see [5, 10].

EXAMPLES. (a) Consider the Galois covering  $F: \tilde{A} \rightarrow A$  given by the action of the group  $\mathbb{Z}$ :



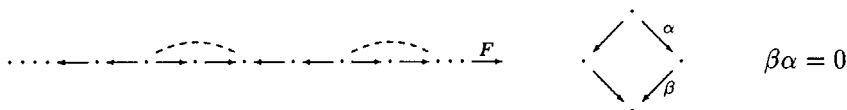
Clearly,  $q_A$  weakly controls  $\text{mod}_A$ . The cover  $\tilde{A}$  is of polynomial growth and therefore  $A$  is of polynomial growth.

(b) In the next example the Galois covering  $F: \tilde{A} \rightarrow A$  is defined by the action of the free group in two generators.



The algebra  $A$  is special biserial and  $q_A$  weakly controls  $\text{mod}_A$  [indeed, by [6, 8] indecomposable  $A$ -modules are associated to string words—for example,  $\alpha_0^{-1} \beta_0^{-1} \beta_1 \alpha_0$ —and band words—for example,  $\alpha_1^{-1} \beta_0^{-1} \beta_1 \alpha_0$  or  $\alpha_1^{-1} \alpha_0 \alpha_1^{-1} \beta_0^{-1} \beta_1 \alpha_0$ . It is an easy exercise to show that in the first case an indecomposable  $A$ -module  $X$  has  $\mathbf{dim} X = (a, b, c)$  with  $|b - a - c| \leq 1$ , and in the second  $b = a + c$ . Since  $q_A(a, b, c) = (a - b + c)^2$ , then  $q_A(\mathbf{dim} X) \in \{0, 1\}$ . Moreover, the algebra  $A$  is tame but not of polynomial growth while the cover  $\tilde{A}$  is of polynomial growth.

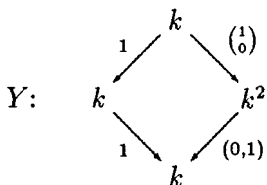
(c) Consider the covering  $F: \tilde{A} \rightarrow A$  with group  $\mathbb{Z}$ :



Obviously  $\tilde{A}$  is locally representation-finite and for every indecomposable  $\tilde{A}$ -module  $X$  we have  $q_{\tilde{A}}(\mathbf{dim} X) \in \{0, 1\}$ . Nevertheless,  $A$  is repre-



sentation-finite and admits an indecomposable module  $Y$  as



with  $q_A(\mathbf{dim} Y) = 2$ .

3.2. Let  $A = kQ/I$  be an algebra such that  $Q$  has no oriented cycle.

Let  $F: \tilde{A} \rightarrow A$  be a Galois covering defined by the action of a group  $G$  of automorphisms of  $\tilde{A}$ . We will assume that  $G$  acts freely on the objects of  $\tilde{A}$  (that is, the vertices of the quiver associated to  $\tilde{A}$ ). Following [5], we denote by  $F_\lambda: \text{mod}_{\tilde{A}} \rightarrow \text{mod}_A$  the push-down functor.

LEMMA. *Let  $X, Y \in \text{mod}_{\tilde{A}}$ ; then*

- (a)  $\text{Ext}_{\tilde{A}}^i(F_\lambda X, F_\lambda Y) \cong \bigoplus_{g \in G} \text{Ext}_{\tilde{A}}^i(X, Y^g)$ ,
- (b)  $\langle \mathbf{dim} F_\lambda X, \mathbf{dim} F_\lambda Y \rangle_A = \sum_{g \in G} \langle \mathbf{dim} X, \mathbf{dim} Y^g \rangle_{\tilde{A}}$ .

*Proof.* Obviously (b) is a consequence of (a).

Let  $P_1 \xrightarrow{\nu} P_0 \rightarrow Y \rightarrow 0$  be a projective presentation of  $Y$  in  $\text{mod}_{\tilde{A}}$ ; then  $F_\lambda P_1 \xrightarrow{F_\lambda \nu} F_\lambda P_0 \rightarrow F_\lambda Y \rightarrow 0$  is a projective presentation of  $F_\lambda Y$  in  $\text{mod}_A$ . Let  $Q$  be a projective  $\tilde{A}$ -module. Then recalling the definition of a covering functor we get the exact and commutative diagram

$$\begin{array}{ccccc}
 \bigoplus_{g \in G} \text{Hom}_{\tilde{A}}(Q, P_1^g) & \rightarrow & \bigoplus_{g \in G} \text{Hom}_{\tilde{A}}(Q, P_0^g) & \rightarrow & \bigoplus_{g \in G} \text{Hom}_{\tilde{A}}(Q, Y^g) \rightarrow 0 \\
 \downarrow \wr & & \downarrow \wr & & \downarrow \wr \\
 \text{Hom}_A(F_\lambda Q, F_\lambda P_1) & \rightarrow & \text{Hom}_A(F_\lambda Q, F_\lambda P_0) & \rightarrow & \text{Hom}_A(F_\lambda Q, F_\lambda Y) \rightarrow 0
 \end{array}$$

Consider now a projective resolution  $\eta: \dots \rightarrow Q_{i+1} \rightarrow Q_i \rightarrow \dots \rightarrow Q_0 \rightarrow X \rightarrow 0$  in  $\text{mod}_{\tilde{A}}$ . Applying  $\text{Hom}_A(-, F_\lambda Y)$  to  $F_\lambda \eta$ , we get  $\text{Hom}_A(F_\lambda \eta, F_\lambda Y) \xleftarrow{\sim} \bigoplus_{g \in G} \text{Hom}_{\tilde{A}}(\eta, Y^g)$ . Calculating the  $i$ th homology of these sequences we get the result. ■

3.3. Assume that the group of automorphisms  $G$  of  $\tilde{A}$  acts freely on objects of  $\tilde{A}$  and  $G$  is torsion-free. Then  $G$  acts also freely on  $\text{mod}_{\tilde{A}}$  (see [5]; in fact, if  $X^g \cong X$ , then  $\text{supp } X$  is a finite set of vertices fixed by  $g$ , then at least one vertex is fixed and  $g = 1$ ). Therefore, by [5], the push-down functor  $F_\lambda: \text{mod}_{\tilde{A}} \rightarrow \text{mod}_A$  preserves indecomposability.

The category  $\tilde{A}$  may be given in the form  $\tilde{A} = k\tilde{Q}/\tilde{I}$ . The Tits form  $q_{\tilde{A}}$  will be formally considered as taking values on vectors  $v \in \mathbb{Z}^{(\tilde{Q}_0)}$ , that is, vectors with finite support.

**PROPOSITION.** *Let  $F: \tilde{A} \rightarrow A$  be a Galois covering defined by the action of a torsion free group  $G$ . Assume that  $\tilde{A}$  is strongly simply connected and  $q_A$  weakly controls  $\text{mod}_A$ . Then  $q_{\tilde{A}}$  is weakly non-negative.*

*Proof.* Assume  $q_{\tilde{A}}$  is not weakly non-negative. Since  $\tilde{A}$  is strongly simply connected, then by (1.3), there is a convex hypercritical subcategory  $B$  of  $\tilde{A}$ . Moreover  $B = C[M]$  for a convex critical subcategory  $C$  of  $A$  and an indecomposable postprojective  $C$ -module  $M$ .

Let  $Y_1, Y_2, Y_3$  be three regular simple  $C$ -modules belonging to the mouth of three different (orthogonal) homogeneous tubes in  $\Gamma_C$ . Let  $0 \neq f_i \in \text{Hom}_C(M, Y_i)$ ,  $i = 1, 2, 3$ . The following  $B = C[M]$ -modules are indecomposable:

$$\begin{aligned} Z &= (k, Y_1 \oplus Y_2, \gamma: k \rightarrow \text{Hom}_C(M, Y_1 \oplus Y_2), 1 \mapsto (f_1, f_2)) \\ Z' &= (k^2, Y_1 \oplus Y_2 \oplus Y_3, \gamma': k^2 \rightarrow \text{Hom}_C(M, Y_1 \oplus Y_2 \oplus Y_3), \\ &\quad (1, 0) \mapsto (f_1, f_2, 0), (0, 1) \mapsto (0, f_2, f_3)). \end{aligned}$$

Therefore  $F_\lambda Z$  and  $F_\lambda Z'$  are indecomposable  $A$ -modules. We shall evaluate  $q_A(\mathbf{dim} F_\lambda Z)$  and  $q_A(\mathbf{dim} F_\lambda Z')$ .

(1)  $q_A(\mathbf{dim} F_\lambda Y_1) = 0$ : since  $F_\lambda Y_1$  is indecomposable, if this were not the case, then  $q_A(\mathbf{dim} F_\lambda Y_1) = 1$ . In the tube of  $\Gamma_C$  where  $Y_1$  sits, there is an indecomposable  $\tilde{A}$ -module  $Y_1[2]$  with  $\mathbf{dim} Y_1[2] = 2 \mathbf{dim} Y_1$ . Therefore  $F_\lambda Y_1[2]$  is indecomposable and  $q_A(\mathbf{dim} F_\lambda Y_1[2]) = q_A(2 \mathbf{dim} F_\lambda Y_1) = 4$ , contradicting the fact that  $q_A$  weakly controls  $\text{mod}_A$ .

(2) Let  $w$  be the extension vertex in  $B$ , that is,  $M = \text{rad } P_w$ , where  $P_w$  is an indecomposable projective  $B$ -module. Let  $a = F(w)$  be the corresponding vertex in  $Q$ . Then  $\mathbf{dim} Z = 2 \mathbf{dim} Y_1 + e_w$  and  $\mathbf{dim} F_\lambda Z = 2 \mathbf{dim} F_\lambda Y_1 + e_a$ . We have

$$q_A(\mathbf{dim} F_\lambda Z) = q_A(2 \mathbf{dim} F_\lambda Y_1 + e_a) = 1 + 2q_A(\mathbf{dim} F_\lambda Y_1, e_a).$$

Since this number is 0 or 1, we get that  $q_A(\mathbf{dim} F_\lambda Y_1, e_a) = 0$ .

(3) Finally,  $\mathbf{dim} F_\lambda Z' = 3 \mathbf{dim} F_\lambda Y_1 + 2e_a$  and  $q_A(\mathbf{dim} F_\lambda Z') = 4 + 6q_A(\mathbf{dim} F_\lambda Y_1, e_a) = 4$ , which is a contradiction. This finishes the proof.  $\blacksquare$

3.4. The following is the main result of this section.

**PROPOSITION.** *Let  $F: \tilde{A} \rightarrow A$  be a Galois covering defined by the action of a group  $G$ . Assume the following conditions:*

(a)  $\tilde{A}$  is strongly simply connected and  $\text{gl dim } \tilde{A} \leq 2$ .

(b) For every indecomposable  $A$ -module  $X$ ,

$$q_A(\mathbf{dim} X) = \dim_k \operatorname{End}_A(X) - \dim_k \operatorname{Ext}_A^1(X, X) \in \{0, 1\}.$$

Then  $\tilde{A}$  is of polynomial growth and  $A$  is tame.

*Proof.* Let  $X$  be an indecomposable  $A$ -module. Let us observe that  $\operatorname{Ext}_A^2(X, X) = 0$ . Indeed, since  $\operatorname{gl} \mathbf{dim} \tilde{A} \leq 2$ , also  $\operatorname{gl} \mathbf{dim} A \leq 2$ . Then

$$\begin{aligned} q_A(\mathbf{dim} X) &= \chi_A(\mathbf{dim} X) \\ &= \sum_{i=0}^2 (-1)^i \dim_k \operatorname{Ext}_A^i(X, X) \text{ implies } \operatorname{Ext}_A^2(X, X) = 0. \end{aligned}$$

Since  $\tilde{A}$  is strongly simply connected, by [28],  $G$  is a torsion free group. In view of (3.3) and (1.4), to show that  $\tilde{A}$  is of polynomial growth, we must prove that  $\tilde{A}$  does not admit a  $pg$ -critical convex subcategory. Otherwise, if  $B$  is a  $pg$ -critical convex subcategory of  $\tilde{A}$ , by (1.4), there is an indecomposable  $\tilde{A}$ -module  $Y$  with  $\operatorname{Ext}_A^2(Y, Y) \neq 0$ . Hence  $F_\lambda Y$  is an indecomposable  $A$ -module with  $\operatorname{Ext}_A^2(F_\lambda Y, F_\lambda Y) \neq 0$  by (3.2), a contradiction. Hence  $\tilde{A}$  is of polynomial growth and by [28],  $A$  is tame.

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